

**CTMUHB Audit and Risk Committee – Part 2  
Assurance Report**

<b>Reporting Committee</b>	<b>CTMUHB Audit and Risk Committee – Part 2</b>
<b>Chaired by</b>	<b>Patsy Roseblade, Chair of the Audit &amp; Risk Committee</b>
<b>In attendance for WHSSC</b>	<b>Jacqui Maunder-Evans, Committee Secretary</b>
<b>Date of Meeting</b>	<b>22 February 2024</b>
<b>Report Author</b>	<b>Committee Secretary</b>
<b>Summary of key matters considered by the Committee and any related decisions made</b>	
<p>The CTMUHB Audit &amp; Risk Committee (ARC) provide assurance to the Joint Committee of the effectiveness of its arrangements for handling reservations and delegations. The Memorandum of Agreement states that the Audit Lead will provide reports to the Joint Committee following the Host Audit &amp; Risk Committee meetings. This assurance report sets out the key areas of discussion and decision.</p> <p><b>1. EASC Update (including an update on Non-Emergency Patient Transport Services and the Integrated Commissioning Action Plan)</b> Gwenan Roberts (GR), Deputy Director Corporate and Committee Secretary, EASC and Ross Whitehead (RW), Deputy Chief Ambulance Services Commissioner, EASC gave an update on the EASC business including:</p> <p>The purpose of the report is to provide an update on the following:</p> <ul style="list-style-type: none"> <li>• EASC Risk Register</li> <li>• EASC Assurance Framework</li> <li>• EASC Performance Report</li> <li>• Integrated Commissioning Action Plans.</li> </ul> <p>Members noted that the EASC risks will feature as part of the EASC legacy statement to support the establishment of the new NHS Wales Joint Commissioning Committee (JCC).</p> <p>The Committee <b>noted</b> the report.</p> <p><b>2. WHSSC Corporate Risk Assurance Framework (CRAF)</b> Jacqui Maunder-Evans (JME), Associate Director of Corporate Service and Committee Secretary presented the Corporate Risk and Assurance Framework (CRAF). Members noted that:</p>	

- As at 31 December 2023, there were 25 risks on the CRAF with a risk score of 15 and above,
- There were 20 commissioning risks, which included three new commissioning risks; and
- There were 5 organisational risks.

Members noted that risk management training will be rolled out once the new JCC is established and that scoring will be reviewed.

Members noted the update on the Individual Patient Funding Request (IPFR) governance risk and that the WHSSC Joint Committee, and the seven Health Boards had approved the updated policy and once Welsh Government had confirmed they were content that WHSSC had adhered to their specific instructions, then the policy would be formally adopted across NHS Wales.

Members were reminded that Welsh Government (WG) had requested that WHSSC leads the review of the NHS Wales Policy Making Decisions on Individual Patient Funding Requests (IPFR), and that on the 21 November 2023 the Joint Committee received a report presenting the outcomes from the engagement process with key stakeholders to review the Policy and to seek support for the proposed changes to the policy prior to being shared with Health Boards for final approval. The 7 X HBs had now approved the policy and confirmation is awaited from WG that they are satisfied that WHSSC have adhered to their instructions and that the new policy can be adopted. Members were assured that the correct governance process had been followed and that the NHS Wales Bboard Secretaries peer group had been involved in discussions.

Members noted that the WHSSC risks will feature as part of the WHSSC legacy statement to support the establishment of the new JCC.

The Committee **noted** the report.

### **3.WHSSC Internal and External Audit Recommendations Tracker**

JME gave a progress report on the implementation of internal and external audit recommendations.

Members noted:

- the summary of internal audits undertaken during 2022-2023 and the assessment ratings,
- 2 recommendations were outstanding in relation to the report on Risk Management. The due dates for both items have been revised to March 2024,
- 2 recommendations were outstanding in relation to the report on the Wales Kidney Network (WKN). The due dates for both items have been revised to January 2024 and March 2024 respectively,
- 2 recommendations were outstanding in relation to the report on the Development of the WHSSC integrated Commissioning Plan (ICP), none of which have reached the due date; and

- the progress made against the two remaining external audit recommendations for Welsh Government outlined in the Audit Wales report “WHSSC Committee Governance Arrangements”.

Members noted that a full progress report on the Audit Wales recommendations was presented to the WHSSC Joint Committee on 21 November 2023 and a further progress report will be shared with the NHS Wales Board Secretaries and Audit Wales in summer 2024.

The Committee **noted** the report.

#### 4. National Imaging Academy for Wales Risk Register

Tracy Norris (TN), Academy Manager presented the National Imaging Academy for Wales (NIAW) Risk Register and members noted there were two ongoing high risks related to:

- NIAW’s access to recurring capital funding
- Commissioned number of CR Specialist Trainees for Training at NIAW

The Director of Finance (DoF) queried the risk score for the risk concerning capital expenditure and TN agreed to review the risk outside the meeting.

The Committee **noted** the report.

#### 5. Any Other Business

- **New NHS JCC** - Members noted that EASC and WHSSC will cease to exist on 31 March 2024 and that the new JCC will be established on 1 April 2024. Members noted that it was Steve Spill’s last meeting and thanked him for his contribution and commitment during his tenure as the Independent Member (IM) audit & finance lead for WHSSC; and
- **CTMUHB Audit & Risk Committee National Commissioning Review (NCR) Update** – members noted that the CTMUHB ARC public meeting were due to receive an update on the work of the National Commissioning Review (NCR) from WG after the hosted bodies meeting.

#### Matters referred to other Committees

None

<b>Date of next scheduled meeting</b>	18 April 2024
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