

**CTMUHB Audit and Risk Hosted Bodies Committee – Part 2**  
**Assurance Report**

<b>Reporting Committee</b>	<b>CTMUHB Audit and Risk Committee – Part 2</b>
<b>Chaired by</b>	<b>Patsy Roseblade, Chair of the Audit &amp; Risk Committee</b>
<b>In attendance for the JCC</b>	<b>Nia Roberts, JCC Lay Member – Audit &amp; Finance Lead</b> <b>Stacey Taylor, Interim Chief Commissioner</b> <b>Jacqui Maunder, Committee Secretary</b>
<b>Date of Meeting</b>	<b>17 December 2024</b>
<b>Report Author</b>	<b>Committee Secretary</b>
<b>Summary of key matters considered by the Committee and any related decisions made</b>	
<p>The CTMUHB Audit &amp; Risk Committee (ARC) for hosted bodies provides assurance to the Joint Commissioning Committee (JCC) of the effectiveness of its arrangements for handling reservations and delegations. The Memorandum of Agreement states that the Audit Lead will provide reports to the JCC following the Host Audit &amp; Risk Committee meetings. This assurance report sets out the key areas of discussion and decision.</p>	
<p><b>1. Joint Commissioning Committee Update Report – Verbal</b> Stacey Taylor (ST), Interim Chief Commissioner presented an update on the business activities of the Joint Commissioning Committee (JCC), and members noted updates on:</p> <ul style="list-style-type: none"> <li>• <b>Integrated Medium Term Plan (IMTP) 2025-2028 Development</b> - Further to the update given at the Joint Commissioning Committee on 17 September 2024 on progress with developing the 2025/2028 Integrated Medium Term Plan (IMTP), work has been progressing throughout October to assess both commissioner and provider risk to inform the commissioning priorities of the plan this year, specifically to identify service areas at risk, to apply a STEEEP assessment to them, and to assess whether they should be treated, tolerated, transferred, terminated or transformed,</li> <li>• <b>Public Health Input for the JCC</b> - The Combe review “Independent Review of Commissioning Functions” identified the need to secure public health input into the JCCs commissioning activities, aligned to the JCC Memorandum of Agreement (MoA). Based on learning from NHS England (NHSE) and elsewhere, the JCC team have been in discussion with Public Health Wales (PHW) to identify what public health support is required to support any role the JCC may have. The Committee members impressed</li> </ul>	

upon the fact that the JCC should be responding to the needs assessment of the Health Boards as resident population is the responsibility of the Health Boards' and ensure that every part of NHS Wales is delivering the best value from available resources. A business case has been developed which considers models which exist elsewhere for national public health advice to specialist or national commissioning; and

- **Update on JCC transition – Q2 Progress and plan for Q3 2024-2025**  
- Overall good progress had been made in the first 7 months since the establishment of the JCC. During Q2 work continued at pace to implement the steps to 'routine business' for the new JCC. The transition to routine business was discussed at the Joint Committee Development sessions in August and October 2024 with regular updates provided on progress and the overall approach. Amongst the recent key achievements, the governance model, including the joint sub-committee arrangements were agreed by the 7 x HBs in September 2024. The recent appointment of two new Independent Lay members of the Joint Committee has enabled the Chair to consider lay member representation and chairmanship for the sub-committees who will meet under the new Terms of Reference (ToRs) in Q3.

The Committee **noted** the report.

## **2. JCC Organisational Risk Register**

Jacqui Maunder (JM) presented a transitional amalgamated risk register for the Joint Commissioning Committee (JCC) which encompassed risks scoring 15 and above taken from the commissioning teams and directorate risk registers across the former EASC, NCCU and WHSSC predecessor organisation risk registers.

Members noted that as at 30 September 2024, there were 19 risks with a score of 15 and above (high risks) on the Risk Register comprising of 17 commissioning risks and 2 corporate risks.

Member noted that the risk register for the JCC as at 30 September 2024 remained transitional whilst further work continued to be undertaken to fully develop and implement the Risk Management Strategy for the JCC (in line with the hosting agreement) and until the JCC has an opportunity to consider its risk appetite as part of the JCC development programme.

Members noted that:

- the Director of Commissioning for Ambulance Services and 111 had undertaken a review of the risks related to Emergency Ambulance Services commissioning, and that work had been undertaken to develop a consistent approach to risk development across the whole Ambulance Services and 111 commissioning portfolio,
- The risk register was discussed at the JCC meeting on 12 November 2024 and the JCC agreed that Risks 70, 71, 72, 73 and 74 related to ambulance

performance and capacity should be closed and replaced with two new risks, specifically:

- Risk 77 - Commissioning of sufficient Emergency Ambulance Services Capacity Red 15 (replaces risks 70 and 74); and
- Risk 78 – Utilisation of Emergency Ambulance capacity – Red 25 (replaces risk 71, 72 and 73)
- The ambulance risks were further discussed at a JCC strategy workshop on 10 December 2024 which provoked very useful discussions on the ambulance performance and capacity risk profiles across the seven HBS. The discussions are informing the JCC’s risk appetite and this will be a key focus for the next JCC strategy session on 18 February 2025; and
- That The risk register for the JCC as at 30 September 2024 remains transitional whilst further work continues to be undertaken to fully develop and implement the Risk Management Strategy and until the JCC has had opportunity to consider its risk appetite following discussion at the JCC development session on 10 December 2024.

Committee members requested that sufficient time is dedicated to the Risk Register’s highest risk at future meetings and as a result has asked that this is rectified for the next meeting. As a result they have requested that the Director of Commissioning for Ambulance Services and 111 be invited to the next meeting to provide an assurance on how the ambulance risks were being managed.

Members noted and JM expressed her thanks to Cally Hamblyn, Assistant Director of Governance and Risk at CTMUHB for supporting the JCC in developing its risks management framework through providing professional training and guidance.

The Committee:

- **Noted** the report,
- **Noted** the JCC risk register as at 30 September 2024; and
- **Noted** the continued work undertaken to date to produce a transitional amalgamated risk register; and
- **Noted** the further work planned to fully develop the CTM Risk Management Strategy and Risk Register for the JCC.

### 3. JCC Audit Tracker

JM presented the JCC’s audit recommendations tracker incorporating the audit recommendations of the predecessor organisations EASC & WHSSC.

Members noted that:

- **Internal Audit**
  - Of the 4 legacy internal audit reports all of the recommendations in the reports had been completed and there were no outstanding actions; and
- **External Audit** - As a consequence of the establishment of the JCC on 1 April 2024 there are legacy recommendations outstanding relating to the

review of Audit Wales Committee Governance Arrangements at WHSSC report. The report outlined 4 recommendations for WHSSC all of which were completed in 2023. The report outlined three recommendations for WG two of which were categorised as partially completed and remained outstanding for a period. Audit Wales have now confirmed that the recommendations are all now categorised as completed given that the committees of WHSSC and EASC had been combined under the JCC, and that the risk and governance frameworks are now established. An update will be submitted to the JCC in January 2025 and to HB Audit Committees for assurance. This will ensure that all NHS bodies are able to maintain a line of sight on the completion of all the recommendations, noting the JCCs status as a Joint Committee of each HB in Wales.

The Committee:

- **Noted** the report,
- Took an **assurance** on the rolling programme to collate updates from services on a bi-monthly basis in order to report progress to the Audit and Risk Committee; and
- **Noted** that the Audit Wales recommendations outlined in the WHSSC Committee Governance Arrangements report have now been completed and all actions are now closed.

#### **4. Internal Audit Report – JCC Mental Health Quality Commissioning Arrangements**

Emma Samways, Deputy Head of Internal Audit presented the report and members noted that the purpose of the review was to assess the effectiveness of the arrangements in the JCC to oversee the quality and safety aspects of the commissioning of mental health and learning disability placements. The audit concluded a reasonable assurance assessment rating with only one key finding requiring management attention, concerning the documentation of provider action plans.

The Committee:

- **Noted** the report.

#### **Matters referred to other Committees**

None

<b>Date of next scheduled meeting</b>	13 February 2025
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