

NWJCC ORGANISATIONAL RISK REGISTER DASHBOARD (Risks Graded 15 and Above) - January 2026

		CONSEQUENCE (C)				
CxL		1 - Negligible	2 - Minor	3 - Moderate	4 - Major	5 - Catastrophic
LIKELIHOOD (L)	1 - Highly Unlikely					
	2 - Unlikely					79 Commissioning of the Frozen Elephant Trunk Procedure - Risk De-Escalated - January 2026
	3 - Likely				69 Paediatric Radiology Service at CVUHB - Risk De-Escalated - January 2026 92 Women & Children commissioned services posts not advertised in CVUHB - Risk De-Escalated - January 2026	77 Commissioning of sufficient Emergency Ambulance Services capacity 80 JACIE accreditation - south Wales CAR T service 81 JACIE accreditation - south Wales BMT service 91 Hereditary Anaemias service - capacity in south Wales - Risk De-Escalated from 20 to 15 - January 2026
	4 - Highly Likely			68 Specialist Auditory Implant Device Service' CVUHB - Risk De-Escalated - January 2026 83 Full commissioning of paediatric orthopaedic services - Risk De-Escalated - January 2026	53 Neuro-rehabilitation service at C&VUHB - Risk Closed - January 2026 65 Renal dialysis capacity across Wales 82 Neuro-rehabilitation service at SBUHB 86 Neurosciences National Standards at CVUHB - Risk Closed - January 2026 89 Paediatric Neurology Service provision for North Wales 94 High-cost medicines 95 Neuro-rehabilitation services at C&VUHB - New Risk added - January 2026 87 Commissioning of Acute Neurosurgery Therapy MDT at CVUHB - Risk De-Escalated from 20 to 16 - January 2026	78 Utilisation of Emergency Ambulance capacity 88 Commissioning of 24/7 South Wales Thrombectomy Service
	5 - Almost Certain			84 Financial Break-even 2025/26	61 Obesity surgery at Salford Royal Hospital waiting times - Risk Escalated from 16 to 20 - January 2026	

NWJCC Organisational Risk Register (Risks Graded 15 and Above) - January 2026

JCC RISK REGISTER - RISKS WITH SCORES >15																			
Risk Ref	Risk Title	Revised Risk Descriptor	Provider Risk Indicator (Under Development)	Provider Risk Indicator Link (Under Development)	Strategic Risk Owner	Commissioning Team/ Directorate	JCC Strategic Objective	NWJCC Risk Domain	Provider/s	Controls in place	Action Plan	Assuring Committees / Sub-Committees	Rating (current)		Rating (Target)		Trend	Risk Opened	Last Reviewed
													(C x L)		(C x L)				
													C	L	C	L			
65 WKN18	Renal Dialysis Capacity across Wales	If... ...the number of patients requiring dialysis continues to grow annually at a rate of 3-4% (or higher based on some projections) Then... ...the demand will exceed current commissioned capacity across Wales for both unit-based and home dialysis, and there will be delays or limits on the number of patients accessing home dialysis, as the growing demand exceeds the capacity of the nursing workforce to provide timely training and ongoing monitoring. Resulting in... <ul style="list-style-type: none"> the need to commission additional capacity, at financial risk to the NWJCC, to avoid population harm Increased pressure on the commissioned NEPTS service to transport a greater number of patients to and from dialysis session 3 times per week at a financial risk to the JCC 			Director of Commissioning for Specialised Services	Welsh Kidney Network	Ensure quality: with a commissioning approach that is fostered in a quality management system, provide quality outcome, evidence based service specifications for commissioned services and monitor delivery against these	Strategic Commissioning Resource	BCUHB, CVUHB, SBUHB	<ul style="list-style-type: none"> Value in Health Care funding secured to increase the number of transplant and home dialysis patients Monitoring through provider WKN meetings through the WKN commissioning performance dashboard Additional capacity provided in Welshpool and through the new Bridgend Dialysis Unit will be monitored through provider meetings A focus on increasing home therapies and transplant will increase capacity in the units, although a percentage of patients will return to unit dialysis for respite or due to kidney transplant failure, which needs to be accounted for when assessing capacity pressures A focus on increasing home therapies and transplant will increase capacity in the units, although a percentage of patients will return to unit dialysis for respite or due to kidney transplant failure, which needs to be accounted for when assessing capacity pressures Commission a distinct piece of work on Demand and Capacity Modelling, The HEOR presentation was provided to WKN Network Board meeting 24/09/25 on the demand, Further workshops to be held with the regional providers (x3) to go through the regional detail - This session took place on 10th December 2025 with further refinement required by end of January 2026 Full workforce analysis with Regions and bench marking to quantify the various staffing costs per session by Quarter 4 2025/26 Monitor the variation between the 1.77% uplift applied as part of the IMTP Foundation plan and the projected 3.7% growth for dialysis across Wales - Qtr 4 2025/26 Development of action plans for increasing capacity to include opening of Twilright Risk will form part of the IMTP plan for 2026/2027 <p>Update for January 2026 - The risk has been reviewed and refreshed by the WKN commissioning team, using the JCC domains and risk scoring matrix. The risk remains unchanged.</p>	16	8	8	8	←	Jan-24	Jan-2026		
78	Utilisation of Emergency Ambulance Capacity	If... ...the capacity commissioned by the NWJCC is not utilised for its intended purpose Then... ...Health boards and their populations will not receive the services they require and patients will not receive a timely emergency ambulance response, increasing the risk of harm, disability and death Resulting in... ...the JCC being exposed to significant reputational risk	Risk 223 QuEST	https://ambulance.nhs.wales/files/trust-board-papers/papers-27-november-2025/ https://ambulance.nhs.wales/files/trust-board-papers/papers-27-november-2025/ Agenda Item 10	Director of Commissioning for Ambulance Services and 111	Ambulance Services and 111	Ensure quality: with a commissioning approach that is fostered in a quality management system, provide quality outcome, evidence based service specifications for commissioned services and monitor delivery against these	Strategic Commissioning Resource Reputation	WAST	<ul style="list-style-type: none"> Implementation of Welsh Government ambulance handover targets for health boards NWJCC collaborative working with health boards and WAST to reduce conveyance to Emergency Departments Establishment of the clinically led National Improvement Delivery Group on 1st July 2025 to reduce ambulance handover delays of which the JCC is an active participant 6 Goals programme working to ensure All Health Boards in Wales establish Single Points of Access (SPOA) by September 2025 to facilitate more patients being streamed to the correct place to meet their needs. Monitor Sustained reduction throughout Q1 and Q2 2025/26 in the number of lost hours due to ambulance handover delays within a number of hospitals within NHS Wales is resulting in more emergency ambulance capacity being utilised for its intended purpose. <p>Update for January 2026 - Ambulance & 111 Commissioning Team have reviewed the risk. The score rating of 20 current, with target 15 remains unchanged. Ambulance service Unit Hour Production continues to be monitored as part of Ambulance Services and 111 performance monitoring. Handover position remains challenging, health boards are currently undertaking a readiness assessment in relation to further expectations around handover improvement.</p>	20	15	15	15	←	Sep-24	Jan-2026		
80 CB12	JACIE accreditation - south Wales CAR T service	If... ...CVUHB does not achieve JACIE reaccreditation for its CAR-T service due to facilities not meeting standards Then... ...pharmaceutical companies will withdraw their approvals for CVUHB to administer their products and there will be no CAR-T service in Wales for the NWJCC to commission leading to: <ul style="list-style-type: none"> patients having to travel further for treatment at a certified centre; an increased risk of patients not receiving treatment in a timely manner risk of poorer patient outcomes and adverse impact on patient and family experience Resulting in... significant increase in costs to the JCC and NHS Wales due to commissioning additional services in England and an inability to deliver against the strategic intention of ATMP delivery in Wales therefore damaging the reputation of the JCC and NHS Wales	Risk 20 CVUHB Specialist Services Risk 23 CVUHB Specialist Services	https://cavuhb.nhs.wales/files/board-and-committees/board-2025-26/00-2026-01-29-public-board-papers.pdf/ Page 281	Director of Commissioning for Specialised Services	Cancer & Blood	Ensure quality: with a commissioning approach that is fostered in a quality management system, provide quality outcome, evidence based service specifications for commissioned services and monitor delivery against these	Strategic Commissioning Resources Reputation	CVUHB	<ul style="list-style-type: none"> The NWJCC continues to work with providers to ensure that services are being delivered to previously agreed service specifications, or where this is not possible that assurance is provided that appropriate mitigations are in place, including stringent infection control measures 	<ul style="list-style-type: none"> In conjunction with the provider, to advise Welsh Government on the implications for the service and patients if JACIE accreditation is not achieved. Once shared with the JCC, to monitor progress of CVUHB's actions to respond to JACIE <p>Update for January 2026 - JACIE report received by CVUHB on 8th January deferring their final decision with regards to recertification pending CVUHB's submission of their corrective actions by 8th July. It is noted that there is acceptance that deficiencies requiring longer-term solutions (such as construction) are not expected to be complete by the deadline, they expect the plans for such corrections to be included with the response with as much detail as possible. An action list has been drawn up by the service in order to provide a response to JACIE by the deadline.</p> <p>The Cancer & Blood commissioning team has reviewed the score using the JCC domains and risk scoring matrix and the scoring remains unchanged.</p>	15	5	5	5	←	May-25	Jan-2026	
81 CB13	JACIE accreditation - south Wales BMT service	If... ...CVUHB does not achieve JACIE reaccreditation for its BMT service due to facilities not meeting standards Then... ...a commissioning decision will need to be made by NWJCC to either commission from a non-certified centre (CVUHB) or from certified centres in NHS England meaning that: <ul style="list-style-type: none"> either patients will receive treatment from a centre which does not meet national standards or the NWJCC service specification, or there is an increased risk of patients not receiving treatment in a timely manner leading to poorer patient outcomes and experience due to complex pathways with multiple providers requiring significant coordination and administration Resulting in... If continuing to commission from CVUHB: Patients receiving treatment from a centre which is deemed not to reach national standards or the NWJCC service specification. If outsourcing: significant increase in costs and administration to the JCC and NHS Wales due to commissioning additional services in England	Risk 20 CVUHB Specialist Services Risk 23 CVUHB Specialist Services	https://cavuhb.nhs.wales/files/board-and-committees/board-2025-26/00-2026-01-29-public-board-papers.pdf/ Page 281	Director of Commissioning for Specialised Services	Cancer & Blood	Ensure quality: with a commissioning approach that is fostered in a quality management system, provide quality outcome, evidence based service specifications for commissioned services and monitor delivery against these	Strategic Commissioning Resources Legal	CVUHB	<ul style="list-style-type: none"> The NWJCC continues to work with providers to ensure that services are being delivered to previously agreed service specifications, or where this is not possible that assurance is provided that appropriate mitigations are in place, including stringent infection control measures 	<ul style="list-style-type: none"> In conjunction with the provider, to advise Welsh Government on the implications for the service and patients if JACIE accreditation is not achieved. <p>The following actions would be undertaken if accreditation is not renewed by JACIE: • Increased reporting re IP&C and plans and progress regarding reaccreditation via updates with capital investment • Implement enhanced patient consenting • To place the service in escalation level 3</p> <p>If outsourcing: • Outsourcing framework to be agreed and in place.</p> <p>Update for January 2026 - JACIE report received by CVUHB on 8th January deferring their final decision with regards to recertification pending CVUHB's submission of their corrective actions by 8th July. It is noted that there is acceptance that deficiencies requiring longer-term solutions (such as construction) are not expected to be complete by the deadline, they expect the plans for such corrections to be included with the response with as much detail as possible. An action list has been drawn up by the service in order to provide a response to JACIE by the deadline.</p> <p>The Cancer & Blood commissioning team has reviewed the score using the JCC domains and risk scoring matrix and the scoring remains unchanged.</p>	15	5	5	5	←	May-25	Jan-2026	

NWJCC Organisational Risk Register (Risks Graded 15 and Above) - January 2026

Risk Ref	Risk Title	Revised Risk Descriptor	Provider Risk Indicator (Under Development)	Provider Risk Indicator Link (Under Development)	Strategic Risk Owner	Commissioning Team/ Directorate	JCC Strategic Objective	NWJCC Risk Domain	Provider/s	Controls in place	Action Plan	Assuring Committees / Sub-Committees	Rating (current) (C x L)	Rating (Target) (C x L)	Trend	Risk Opened	Last Reviewed
82 NCC057	Neuro-rehabilitation service at SBUHB	If... the NWJCC is unable to support the investment required to recruit to the multi-disciplinary staffing establishment at the SBUHB Inpatient Neuro-rehabilitation Unit to meet the minimum BSRPM standards Then... specialist neuro-rehabilitation at the Unit will be compromised or lost Resulting in... • the potential for poorer population outcomes for South West Wales • inequity of service provision • and the JCC being open to reputational risk and potential judicial review of decisions linked to service investment • placing the service into escalation and the potential need to seek an alternative provider at an increased financial cost			Director of Commissioning for Specialised Services	Neurosciences	Improve equity and population health: ensure that people are able to access the right service when they need it wherever they are, wherever they live	Strategic Commissioning Resources Reputation	SBUHB	• Recommendations to mitigate the current risks and medium to longer term staffing requirements by recruiting and maintaining a well-resourced and competent multidisciplinary team. • SBUHB have reduced the number of Neuro-rehabilitation inpatient beds from 14 to 10 beds in the short term whilst recruitment gaps are resolved. • Information re: delayed admissions/discharges shared with the JCC • Half yearly Performance meetings with the service in place.	• JCC drafted a specialised rehabilitation strategy, the unit is to be included in this project. The strategy has been paused for review in 25/26. • Planned investment for 24/25 has been delayed with no funding release to take forward in the 25/26 foundation plan. • A performance meeting with the NPT Rehabilitation Service was held on the 22nd of September 25 and quarterly meetings with the NWJCC and NPT Rehabilitation Service have been arranged. Update for January 2026 - The risk has been reviewed and refreshed by the Neurosciences commissioning team. The score has been reviewed using the NWJCC domains and risk scoring matrix and the scoring remains unchanged - the risk is about failure to meet national standards and therefore the consequence aligns with 'Major' (4). In terms of likelihood the in-ability to meet the minimum standards during periods of high demand or high complexity will persist, potentially resulting in longer waiting times or further bed closures so remains 'Likely to happen' (4).	• Joint Commissioning Committee • Quality, Safety & Outcomes Sub-Committee • Senior Leadership Team • CTMUHB Audit & Risk Committee	16 4 4	8 4 2	↔	Apr-25	Jan-2026
88	Commissioning of 24/7 South Wales Thrombectomy Service	If... the JCC is unable to commission a 24/7 mechanical thrombectomy service on behalf of South Wales Health Board's and their populations Then... there is a risk of continued inequity of access to services between patients in South Wales and South Powys, compared to those in North East Wales and North Powys who have access to a 24/7 Mechanical Thrombectomy Service and the potential for poorer population outcomes in South Wales and South Powys Resulting in... • the JCC being open to significant reputational risk and potential judicial review of decisions linked to service provision	No risk for Thrombectomy on CVUHB Risk Register or BAF - From the provider's perspective, it delivers to its current contract.	N/A	Director of Commissioning for Specialised Services	Neurosciences	Improve equity and population health: ensure that people are able to access the right service when they need it wherever they are, wherever they live	Health Inequalities Legal Reputation	CVUHB	• Four phase investment plan for the provision of a 24/7 service in place with CVUHB. Business case received from CVUHB 4 phase plan to provision of 24/7 service. • Ongoing discussions with North Bristol Hospital Trust (NBHT) being held regarding service provision.	• JCC continue to monitor CVUHB progress against the phase 1 investment and timely progression towards a 24/7 service. • JCC were awaiting a business case from CAVUHB by end of September 2025. CVUHB advised that they were not in a position to submit a revised business case to expedite the 4 phase plan (agreed with Joint Committee in 2024) to mitigate the risk of lack of 24/7 access. The NWJCC continue to discuss the 24/7 service provision with North Bristol Hospital Trust • JCC to continue to meet Cardiff service regularly as required (currently fortnightly) to monitor activity. Update for January 2026 - The risk has been reviewed and refreshed by the commissioning team. The risk remains unchanged.	• Joint Commissioning Committee • Quality, Safety & Outcomes Sub-Committee • Senior Leadership Team • CTMUHB Audit & Risk Committee	20 4 4	8 4 2	↔	Jul-25	Jan-2026
89 P/21/28	Paediatric Neurology Service provision for North Wales	If... neurology services in Alder Hey NHSE remain under resourced Then... North Wales paediatric patients will not have access to the full range of specialised Paediatric neurology services with the potential for poorer population outcomes in North and inequity of access between North Wales and South Wales Resulting in... • the JCC being open to significant reputational risk and potential judicial review of decisions linked to service provision • the need to re-commission Paediatric Neurology services for North Wales at a potential financial consequence to the JCC			Director of Commissioning for Specialised Services	Women & Children	Improve equity and population health: ensure that people are able to access the right service when they need it wherever they are, wherever they live	Health Inequalities Strategic Commissioning Resource Reputation	Alder Hey	• Continue regular SLA performance meetings with Alder Hey to discuss JCC commissioned services.	• The next SLA meeting, scheduled for the 19th March 2026, will discuss the continued reduced service due to work force constraints. Members of the commissioning team will be attending in person and confirmation of consultation start date, full service capacity and plan to mitigate any backlog caused from lack of resource. Update for January 2026 - The risk has been reviewed by the W&C commissioning team using the JCC domains and risk scoring matrix, and calibrating risk scores across the directorate. The risk remains unchanged.	• Joint Commissioning Committee • Quality, Safety & Outcomes Sub-Committee • Senior Leadership Team • CTMUHB Audit & Risk Committee	16 4 4	8 4 2	↔	Jul-25	Jan-26
91 CB15	Hereditary Anaemias Service - Capacity in south Wales	If... commissioned capacity in the south Wales hereditary anaemias service is not increased in order to meet increasing demand (doubling of patient population in last 5 years) Then... patients may not be seen in a timely way or in accordance with the quality standards of the service specification with the potential for poorer patient outcomes and experience and an adverse impact on the wellbeing of staff in the service including: • delays in access to timely clinic review • inability to provide timely review of emergency admissions • lack of capacity to deliver timely access to red cell exchange transfusions • lack of medical cover particularly in the adult service (dependence on a single consultant) • delays in access to psychology support • lack of social work support placing pressure on and diverting the work of CNSs • lack of capacity to deliver specialist obstetric support for a growing number of pregnancies affected by haemoglobinopathies Resulting in... An NWJCC commissioned service that is not sustainable, resilient, safe or of high quality and the NWJCC being open to reputational risk and potential judicial review of decisions linked to service investment.			Director of Commissioning for Specialised Services	Cancer & Blood	Ensure quality: with a commissioning approach that is fostered in a quality management system, provide quality outcome, evidence based service specifications for commissioned services and monitor delivery against these	Strategic Commissioning Resources Legal	CVUHB	• The NWJCC continues to work with providers to ensure that services are being delivered to previously agreed service specifications, or where this is not possible that assurance is provided that appropriate mitigations are in place, including clinical prioritisation plans and workforce planning to maximise the level of service provided.	• Obtain more detail from the service in CVUHB on what would be required for a more sustainable service. In progress. • Seek to understand increase in demand in more depth by asking Liverpool service if they have seen a similar trend. In progress. • Propose as a "Must do" in the 2026-29 IMTP Planning Process - awaiting outcome • Obtain clarity on current consultant WTE available to the service. COMPLETE - CVUHB has clarified that the 0.2WTE consultant time for adults which was declared as already existing when investment (including a further 0.2WTE consultant for adults) was made in 2020 is not available due to being unfunded sessions by a consultant who has since left the health board. • Seek further information from CVUHB to better describe and assess level of risk, in particular the impact of longer waiting times for clinic appointments on patients. COMPLETE Update for January 2026 - The Cancer & Blood commissioning team has reviewed the risk using the JCC domains and risk scoring matrix, and the likelihood has reduced to 'possible' (3).	• Joint Commissioning Committee • Quality, Safety & Outcomes Sub-Committee • Senior Leadership Team • CTMUHB Audit & Risk Committee	15 5 3	10 5 2	↓ Risk Score has been de-escalated from a 20 to 15	Sep-25	Jan-2026

NEW RISKS (Risks Graded 15 and Above) - January 2026

JCC RISK REGISTER FOR NEW RISKS >15																
Risk ID	Risk Title	Risk Description	Provider Risk Indicator (Under Development)	Provider Risk Indicator Link (Under Development)	Strategic Risk owner	Commissioning Team / Directorate	JCC Strategic Objective	NWJCC Risk Domain	Risk Appetite Level	Provider(s)	Controls in place	Action Plan	Assuring Committees / Sub-Committees	Rating (current) C x L	Rating (Target) C x L	Month Added
95 (New risk created through the merging of Risk 53 and Risk 86)	Neuro-rehabilitation services at C&VUHB	<p>If...The JCC does not provide funding to increase the commissioned establishment to meet the minimum BSPRM standards</p> <p>Then...The service will not have the staffing levels required to respond to the patient needs (complexity) that change over time meaning potentially poorer outcomes for the patient population</p> <p>Resulting in...</p> <ul style="list-style-type: none"> • CVUHB being unable to take patients with more complex needs or admit new patients in line with demand thereby not fulfilling their contractual obligations • Financial implications for both the JCC and CVUHB and the need to consider the re-commissioning of services (bed closures) 		cavuhb.nhs.wales/files/board-and-committees/board-2025-26/00-2026-01-29-public-board-papers-pdf/ Page 282	Director of Specialised Services	Neurosciences	<p>Maximise value – through our expertise and advice, determine where resources are best focussed and prioritised to inform choices that support the improvement of patient outcomes and commission appropriate services where value is demonstrated</p>	Strategic Commissioning Resources	To be agreed	CAVUHB	<ul style="list-style-type: none"> • CVUHB have successfully recruited to the commissioned staffing establishment, however still remain below the minimum standards for the British Society Physical Rehabilitation Medicine. • JCC receiving and monitoring performance and repatriation delay information • Performance reporting and oversight via Risk Assurance and Recovery meetings, SLA meetings and to Management Group and JCC 	<ul style="list-style-type: none"> • JCC to continue meeting quarterly with the C&VUHB team to understand the risks • The concerns raised by the Rehabilitation team will be addressed in the Rehabilitation Strategy which is currently paused for review in 25/26. 	<ul style="list-style-type: none"> • Joint Commissioning Committee • Quality, Safety & Outcomes Sub-Committee • Senior Leadership Team • CTMUHB Audit & Risk Committee 	16 (C4xL4)	8 (C4xL2)	Jan-26

CLOSED RISKS (Risks Graded 15 and Above) - January 2026

JCC RISK REGISTER FOR CLOSED RISKS >15										
Risk ID	Risk Title	Risk Description	Strategic Risk Owner	Strategic Objective	Risk Domain	Controls in place	Action Plan	Assuring Committees	Month Closed on Org RR	Closure Rationale
53 NCC062	Neuro-rehabilitation service at C&VUHB	<p>If...Cardiff and Vale University Health Board is unable to recruit to a number of current vacancies in the Neuro-rehabilitation service</p> <p>Then...the gap in the number of posts that have been commissioned means that the service is not meeting the national standards</p> <p>Resulting in...patients requiring admission to the Inpatient Neuro-rehabilitation Unit are unable to access the specialist rehabilitation they require</p>	Director of Commissioning for Specialised Services	Ensure quality: with a commissioning approach that is fostered in a quality management system, provide quality outcome, evidence based service specifications for commissioned services and monitor delivery against these	<p>Quality/ Complaints/ Assurance/ Patient Outcomes</p> <p>Financial Stability and Impact of Litigation</p>	<ul style="list-style-type: none"> Receiving quarterly repatriation delay information and monitor through the Neurosciences Risk, Recovery and Assurance meetings 	<ul style="list-style-type: none"> JCC to continue meeting quarterly with the C&VUHB team to understand the risks The concerns raised by the Rehabilitation team will be addressed in the Rehabilitation Strategy which is currently paused for review in 25/26. CVUHB have successfully recruited to the commissioned staffing establishment but remain below the minimum standards for the British Society Physical Rehabilitation Medicine. 	<ul style="list-style-type: none"> Joint Commissioning Committee Quality, Safety & Outcomes Sub-Committee Senior Leadership Team CTMUHB Audit & Risk Committee 	Jan-26	January 26 - Duplication with Risk 86 (both risks are related to workforce establishment, not meeting national standards and patients not being able to access the specialist neuro-rehabilitation services at CVUHB). Risk closed and a new 'merged' risk developed and added to the ORR (Risk 95)
86 NCC066	Neurosciences National Standards at CVUHB	<p>If...Cardiff and Vale University Health Board is unable to meet the current commissioned nursing establishment of the unit and therefore not meeting BSRM standards</p> <p>Then...the gap in the number of posts that have been commissioned means that the service is not meeting the national standards</p> <p>Resulting in...patients requiring admission to the Inpatient Neuro-rehabilitation Unit are unable to access the specialist rehabilitation this also includes tracheostomy patients, due to the number of patients that can be cared for safely</p>	Director of Commissioning for Specialised Services	Ensure quality: with a commissioning approach that is fostered in a quality management system, provide quality outcome, evidence based service specifications for commissioned services and monitor delivery against these	<p>Quality/ Complaints/ Assurance/ Patient Outcomes</p> <p>Financial Stability and Impact of Litigation</p>	<ul style="list-style-type: none"> JCC to continue meeting with CVUHB Neurosurgery Service to discuss performance, staffing issues/level and risks JCC receiving and monitoring performance information Performance reporting and oversight via Risk assurance and recovery meetings, SLA meetings and to Management Group and JCC 	<ul style="list-style-type: none"> New risk added in May 2025 following identification of this risk through the provider risk based assessment for the JCC Foundation Plan. JCC has arranged further performance meetings with the service 	<ul style="list-style-type: none"> Joint Commissioning Committee Quality, Safety & Outcomes Sub-Committee Senior Leadership Team CTMUHB Audit & Risk Committee 	Jan-26	January 26 - Duplication with Risk 53 (both risks are related to workforce establishment, not meeting national standards and patients not being able to access the specialist neuro-rehabilitation services at CVUHB). Risk closed and a new 'merged' risk developed and added to the ORR (Risk 95)

NWJCC Risk Domains

Risk Domains	1. Negligible (1-3) Negligible impact on objective/s. Day to day operational challenges.	2. Minor (4-6) Minor impact on objective/s. Temporary restriction to business delivery with limited impact on stakeholder confidence.	3. Moderate (8-12) Moderate impact on objective/s. Short term failure to deliver key objectives with temporary adverse local publicity.	4. Major (15-20) Major impact on objective/s. Medium term failure to deliver key objectives with ongoing adverse publicity or negative impact on stakeholder confidence.	5. Catastrophic (25) Catastrophic impact on objective/s. Continued failure to deliver key objectives with long term adverse publicity or fundamental loss of stakeholder confidence.
Health Inequalities Risks that may result in unfair or unavoidable differences in health across different groups within society	<ul style="list-style-type: none"> Negligible risk to communities, with limited impact on health inequalities or disparities 	<ul style="list-style-type: none"> Minor risk which may lead to noticeable effects on certain populations, leading to minor disparities in access to healthcare services or health outcomes across different groups within society 	<ul style="list-style-type: none"> Moderate risk which may significantly affect certain populations, resulting in substantial disparities in health status, access to care, or health related quality of life among affected groups 	<ul style="list-style-type: none"> Major risk which may have a profound impact on certain populations, exacerbating disparities in morbidity, mortality, and overall well-being, with far reaching consequences for affected communities 	<ul style="list-style-type: none"> Catastrophic threats to certain populations, leading to widespread and severe health crises, overwhelming healthcare systems, and causing significant loss of life and societal disruption
Health Outcomes Risks that may result in poor or worsening health outcomes for individuals or populations	<ul style="list-style-type: none"> Health outcomes for certain populations are negligible, with only immaterial variations to care or health status observed 	<ul style="list-style-type: none"> Minor risk which may lead to noticeable effects on health outcomes, leading to minor disparities in disease management, treatment outcomes, or overall well-being 	<ul style="list-style-type: none"> Moderate risk which may lead to significant impacts to health outcomes, resulting in disease progression, functional impairment, and health-related quality of life 	<ul style="list-style-type: none"> Major risk which may lead to profound impact on health outcomes, exacerbating disparities in morbidity, mortality, and life expectancy, with significant implications for health trajectories and long term prognoses 	<ul style="list-style-type: none"> Catastrophic threats to health outcomes, leading to severe and potentially life-threatening consequences, overwhelming the ability of certain populations to cope, and causing significant harm to their physical and mental well-being
Legal Risks that may result in successful legal challenge and/or non-compliance with regulatory requirements. May include, but not limited to, risks linked to statutory duties, inspections, information governance, data management, general governance / probity, compliance and safeguarding	<ul style="list-style-type: none"> No impact or negligible impact or breach of guidance / statutory duty 	<ul style="list-style-type: none"> Breach of statutory legislation Reduced performance rating if unresolved 	<ul style="list-style-type: none"> Single breach in statutory duty Challenging external recommendations / improvement notice 	<ul style="list-style-type: none"> Enforcement action Multiple breaches in statutory duty Improvement notice Low performance rating Critical report 	<ul style="list-style-type: none"> Multiple breaches in statutory duty Prosecution Complete systems change required Zero performance rating Severely critical report
People Risks that may result in damage to staff morale, wellbeing and/or adversely impact workforce collaboration and integration. May include, but not limited to, risks linked to human resource issues, organisational development, skills mix and staff experience	<ul style="list-style-type: none"> Short-term low staffing level that temporarily reduces business quality and delivery (<1 day) 	<ul style="list-style-type: none"> Low staffing level that reduces business quality and delivery 	<ul style="list-style-type: none"> Late delivery of key objective / business due to lack of staff Unsafe capacity or competency levels (>1 day) Low staff morale Poor staff attendance for mandatory training 	<ul style="list-style-type: none"> Uncertain delivery of key objective / business due to lack of staff Unsafe capacity or competency levels (>5 days) Loss of key staff Very low staff morale No staff attending mandatory training 	<ul style="list-style-type: none"> Non-delivery of key objective / business due to lack of staff Ongoing unsafe capacity or competency levels Loss of several key staff Staff unable to attend mandatory training on ongoing basis
Reputation Risks that may result in damage to reputation, poor experience and/or destruction of trust and relations. May include, but not limited to, risks linked to adverse publicity and engagement	<ul style="list-style-type: none"> Rumours Potential for public concern 	<ul style="list-style-type: none"> Local media coverage – short-term reduction in public confidence Elements of public expectation not being met 	<ul style="list-style-type: none"> Local media coverage – long-term reduction in public confidence 	<ul style="list-style-type: none"> National media coverage with <3 days well below reasonable public expectations 	<ul style="list-style-type: none"> National media coverage with >3 days well below reasonable public expectation MP concerned (questions in the House) Total loss of public confidence

Risk Domains	1. Negligible (1-3) Negligible impact on objective/s. Day to day operational challenges.	2. Minor (4-6) Minor impact on objective/s. Temporary restriction to business delivery with limited impact on stakeholder confidence.	3. Moderate (8-12) Moderate impact on objective/s. Short term failure to deliver key objectives with temporary adverse local publicity.	4. Major (15-20) Major impact on objective/s. Medium term failure to deliver key objectives with ongoing adverse publicity or negative impact on stakeholder confidence.	5. Catastrophic (25) Catastrophic impact on objective/s. Continued failure to deliver key objectives with long term adverse publicity or fundamental loss of stakeholder confidence.
Resources Risks that may result in the organisation, or system, operating outside its resource allocations, poor productivity, inefficiencies, or no return on investment. May include, but not limited to, risks linked to workforce, finance, stability, value for money, procurement and claims	<ul style="list-style-type: none"> • Small loss • Risk of claim remote 	<ul style="list-style-type: none"> • Loss of 1-2% of budget • Claim less than £10,000 	<ul style="list-style-type: none"> • Loss of 2-5% of budget • Claim(s) between £10,000 and £100,000 	<ul style="list-style-type: none"> • Uncertain delivery of key objective • Loss of 5-10% of budget • Purchasers failing to pay on time • Claim(s) between £100,000 and £1 million 	<ul style="list-style-type: none"> • Non-delivery of key objective • Loss of 10% of budget • Failure to meet specification • Slippage • Loss of contract/ payment by results • Claim(s) >£1 million
Social and Economic Development Risks relating to decisions or events which may have favourable social, ethical and/or environmental outcomes	<ul style="list-style-type: none"> • Minimal or no impact on the environment 	<ul style="list-style-type: none"> • Minor impact on environment 	<ul style="list-style-type: none"> • Moderate impact on environment 	<ul style="list-style-type: none"> • Major impact on environment 	<ul style="list-style-type: none"> • Catastrophic impact on environment
Strategic Commissioning Risks associated with potential threats or uncertainties that may impact the NWJCC's ability to plan and commission services that meet population needs, improve population outcomes, and ensure value for money. Strategic commissioning risks emerge when this process is disrupted or compromised. These risks may affect the NWJCC'S ability to ensure person-centred, equitable, and sustainable care.	<ul style="list-style-type: none"> • Negligible disruption to commissioning activities with no impact on service delivery or population outcomes. • Temporary delay in pathway design or contract negotiation. 	<ul style="list-style-type: none"> • Negligible disruption to commissioning activities with no impact on service delivery or population outcomes. • Temporary delay in pathway design or contract negotiation. • Minor misalignment with strategic objectives. 	<ul style="list-style-type: none"> • Moderate disruption to commissioning functions. • Inability to deliver planned service changes or meet transformation targets. • Moderate impact on access, equity, or quality of care. 	<ul style="list-style-type: none"> • Major failure in commissioning processes. • Inability to deliver key services or meet statutory duties. • Major impact on population health outcomes, equity, or financial sustainability 	<ul style="list-style-type: none"> • Catastrophic failure / systemic breakdown in commissioning capability. • Widespread service failure or collapse of strategic programmes. • Catastrophic impact on population health and organisational viability.

Risk Domains	1. Negligible (1-3) Negligible impact on objective/s. Day to day operational challenges.	2. Minor (4-6) Minor impact on objective/s. Temporary restriction to business delivery with limited impact on stakeholder confidence.	3. Moderate (8-12) Moderate impact on objective/s. Short term failure to deliver key objectives with temporary adverse local publicity.	4. Major (15-20) Major impact on objective/s. Medium term failure to deliver key objectives with ongoing adverse publicity or negative impact on stakeholder confidence.	5. Catastrophic (25) Catastrophic impact on objective/s. Continued failure to deliver key objectives with long term adverse publicity or fundamental loss of stakeholder confidence.
Strategy and Operations Risks associated with identifying and pursuing strategies /plans (including risks associated with the establishment of innovative systems and processes to deliver the strategies /plans), which could lead to improvements, opportunities for growth or may contribute positively to the achievement of aims and objectives. May include, but not limited to, risks linked to capacity, demand, service/ business interruption, digital, projects, planning, delivery, commissioning, partnership working and transformation	<ul style="list-style-type: none"> Day to day operational challenges Loss/ interruption of >1 hour Insignificant cost increase / schedule slippage Key 'political' target is being achieved and impact prevents improvement 	<ul style="list-style-type: none"> Temporary restriction to service delivery with limited impact on stakeholder confidence Loss/ interruption of >8 hours Key 'political' target is being achieved but impact reduces performance marginally below target in the near future or performance currently on target, but there is no agreed plan to meet 	<ul style="list-style-type: none"> Short term failure to deliver key objectives with temporary adverse local publicity Loss/ interruption of >1 day 5-10 per cent over project budget Schedule slippage Key 'political' goal is marginally below target or is soon projected to deteriorate beyond acceptable limits or there is an agreed plan, but it does not yet meet the rising target 	<ul style="list-style-type: none"> Medium term failure to deliver key objectives with ongoing adverse publicity or negative impact on stakeholder confidence Loss/ interruption of >1 week Non-compliance with national 10-25 per cent over project budget Schedule slippage Key 'political' target not being achieved, and impact prevents improvement, or substantial decline in performance trend. 	<ul style="list-style-type: none"> Continued failure to deliver key objectives with long term adverse publicity or fundamental loss of stakeholder confidence Permanent loss of service or facility Incident leading >25 per cent over project budget Schedule slippage Key objectives not met Key 'political' target is not being achieved and the impact further deteriorates the position

Risk Scoring Matrix

		Likelihood				
		1	2	3	4	5
Consequence		Rare - This will probably never happen / recur only in very exceptional circumstances. (Not for years)	Unlikely - Do not expect it to happen / recur but it is possible it may do so. (At least annually)	Possible - Might happen or recur occasionally (At least monthly)	Likely - Will probably happen / recur but it is not a persisting issue (At least weekly)	Almost certain - Will undoubtedly happen / recur, expected to occur in most circumstances. (At least daily)
	5 Catastrophic		5	10	15	20
4 Major		4	8	12	16	20
3 Moderate		3	6	9	12	15
2 Minor		2	4	6	8	10
1 Negligible		1	2	3	4	5

NWJCC STRATEGIC OBJECTIVES

Maximise value – through our expertise and advice, determine where resources are best focussed and prioritised to inform choices that support the improvement of patient outcomes and commission appropriate services where value is demonstrated

Ensure quality – with a commissioning approach that is fostered in a quality management system, provide quality outcome, evidence based service specifications for commissioned services and monitor delivery against these

Reduce duplication – use value based health principles to reduce variation to identify and maximise opportunities for collaborative commissioning in Wales

Improve equity and population health - ensure that people are able to access the right service when they need it whoever they are, wherever they live

Facilitate integration - through effective engagement and collaboration, provide the key mechanism to support regional and national integration for commissioning services for the people of Wales